





PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q80082

Kosuke SHIOI

Appln. No.: 10/588,206

Group Art Unit: 2879

Confirmation No.: 6784

Examiner: Not Yet Assigned

Filed: August 2, 2006

For:

PHOSPHOR, PRODUCTION METHOD THEREOF AND LIGHT-EMITTING DEVICE

USING THE PHOSPHOR

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

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Attorney Docket No.: Q80082

The present Information Disclosure Statement is being filed thirty days or fewer from the communication from a foreign patent office and a Statement Under 37 C.F.R. §1.704(d) is attached.

KR 2003-0091951 and the article entitled "A Red Phosphor of High Lumen Equivalent Y₂W₃O₁₂:Eu³⁺" were cited in a Korean Office Action for counterpart Korean Application No. 10-2065-7016231 dated April 30, 2007, copy attached.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations: EP 1 347 517 A1 corresponds to KR 2003-0091951.

The article entitled ""A Red Phosphor of High Lumen Equivalent Y₂W₃O₁₂:Eu³⁺" was previously filed in the Information Disclosure Statement filed August 2, 2006.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,

Abraham J. Rosner

Registration No. 33,276

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WASHINGTON OFFICE 23373

CUSTOMER NUMBER

Date: May 24, 2007

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STATEMENT UNDER 37 C.F.R. § 1.704(d)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and that the communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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23373

CUSTOMER NUMBER

Date: May 24, 2007



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q80082

Kosuke SHIOI

Appln. No.: 10/588,206

Group Art Unit: 2879

Confirmation No.: 6784

Examiner: Not Yet Assigned

Filed: August 2, 2006

For: PHOSPHOR, PRODUCTION METHOD THEREOF AND LIGHT-EMITTING DEVICE

USING THE PHOSPHOR

STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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	,	Complete if Known		
Substitute for Form 1449 A & B/PTO		Application Number	10/588,206	
INFORMATION DISCLOSURE		Confirmation Number	6784	
STATEMENT BY APPLICANT		Filing Date	August 2, 2006	
		First Named Inventor	Kosuke SHIOI	
(use as many sheets as necessary)		Art Unit	2879	
&/		Examiner Name	Not Yet Assigned	
of 1		Attorney Docket Number	O80082	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number		Dublication Data	
		Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Docume
		US			
	•	US			
		US			

FOREIGN PATENT DOCUMENTS							
Examiner Cite Initials* No.1	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		KR	2003-0091951		12-03-2003	Toyoda Gosei Co., Ltd Toridonic Optoelectronics GMBH Litec GBR Leuchstoffwerk Breitungen GMBH	
		ЕР	1 347 517	Al	09-24-2003	Toyoda Gosei Co., Ltd Toridonic Optoelectronics GMBH Litec GBR Leuchstoffwerk Breitungen GMBH	
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NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶	
			<u> </u>	

Examiner Signature	Date Considered	
Diametric Signature		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.